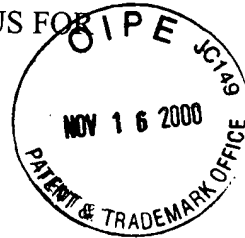


INTELLECTUAL PROPERTY

NOV 27 2000

JENKENS & GILCHRIST

Mailed: 11/10/00 RUD/KIH/KOW/clr
In re Applicants: Lars R. Stromme et al.
For: METHOD AND APPARATUS FOR
DOCUMENT PROCESSING
Serial No.: 09/635,967
Filed: August 10, 2000
Due: 11/10/00
Docket No.: 47171-00272



The following documents were received in the PTO on the date stamped below:

1. Information Disclosure Statement;
2. Form PTO-1449s; and
3. Acknowledgment Postcard.

* DOCKETED
Int: KH DT: 11-29-00
Action Computer Date: 11/6
FDS



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Lars R. Stromme et al.

Serial No.: 09/635,967

Filed: August 10, 2000

Title: METHOD AND APPARATUS FOR
DOCUMENT PROCESSING

Attorney Docket: 47171-00272

Group Art: 3652

Examiner: Not Assigned

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§1.97 and 1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING 37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. on the date indicated below:	
Date 11/10/00	Signature Corinne L. Roepke

Sir:

In compliance with the duty of disclosure under 37 C.F.R. §1.56, it is respectfully requested that this Information Disclosure Statement be entered and the reference(s) listed on attached Form PTO-1449 be considered by the Examiner and made of record.

This application claims the benefit of Provisional Patent Application Serial Nos. 60/018,563 filed May 29, 1996 entitled "Method and Apparatus for Document Identification and Authentication", 60/034,954 filed January 16, 1997 entitled "Method and Apparatus for Document Processing", and 60/038,340 filed February 27, 1997 entitled "Method and Apparatus for Document Processing," all now abandoned.

This application is a continuation of co-pending U.S. Patent Application Serial No. 08/864,423, filed May 28, 1997 entitled "Method and Apparatus for Document Processing." U.S. Patent Application Serial No. 08/864,423 is a continuation-in-part of U.S. States Patent

Application Serial No. 08/800,053 filed February 14, 1997 entitled "Method and Apparatus for Document Identification and Authentication," now issued as U.S. Pat. No. 5,992,601.

In accordance with 37 C.F.R. § 1.98(d), copies of the references listed on the attached FORM PTO-1449s are not being provided as these references were previously submitted in the related U.S. Patent Application Serial No. 08/864,423 which is entitled "Method and Apparatus for Document Processing," and was filed on May 28, 1997.

The applicants would like to make the Examiner aware of the following applications that are being pursued by the assignee of the present application.

08/226,660	04/12/94	Method and Apparatus for Currency Discrimination
09/126,580	07/30/98	Method and Apparatus for Discriminating and Counting Documents
09/028,162	03/06/98	Automatic Currency Processing System
08/394,752	05/12/98	Method and Apparatus for Discriminating and Counting Documents
08/932,478	09/18/97	Software Loading Systems for a Cash Settlement Device
08/864,423	05/28/97	Method and Apparatus for Document Processing
09/197,250	11/20/98	Color Scanhead and Currency Handling System Employing the Same
09/059,813	04/14/98	An Image Processing Network
08/664,262	03/11/97	Document Discriminating System Using Full Image Scanning and Authentication
08/916,100	08/21/97	Multi-Pocket Currency Discriminator
08/962,080	10/8/99	Currency Evaluation and Recording System
08/834,746	04/04/97	Method and Apparatus for Discriminating and Counting Documents
09/179,573	10/27/98	Customizable International Note Counter
09/232,093	01/15/99	Intelligent Currency Handling System
09/245,933	02/05/99	Intelligent Currency Handling System
09/228,608	01/12/99	A Software Loading System For a Cash Settlement Machine
09/502,666	02/11/00	Currency Handling System Having Multiple Output Receptacles
09/268,175	03/15/99	Color Scanhead and Currency Handling System Employing the Same
60/145614	07/26/99	Currency Handling System Employing an Infrared Authenticating System
09/453,200	12/02/99	Method and Apparatus for Currency Discrimination and Counting
09/542,487	04/03/00	Method and Apparatus for Document Processing
09/503,039	02/11/00	Two Belt Bill Facing Mechanism
60/180,965	02/08/00	Method and Apparatus for Detecting Doubled Bills in a Currency Handling Device
60/181,752	02/11/00	Method and Apparatus for Detecting Doubled Bills in a Currency Handling Device

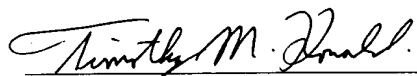
09/541,170	04/03/00	Method of Using a Two Pocket Currency Evaluation Device
09/607,019	06/29/00	Apparatus with Two or More Pockets for Document Processing
Unknown Atty.Dkt. NO. 47171-00269	07/06/00	Method with Two or More Pockets for Document Processing
Unknown Attnty Dkt. No. 47171-00256	07/26/00	Currency Handling System Employing an Infrared Authenticating System

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information disclosed is, or is considered to be, prior art with respect to the present application or material to patentability as defined in 37 C.F.R. §§ 1.56.

The present Information Disclosure statement is being filed within three months of the filing date of this application and hence is believed to be timely in accordance with 37 C.F.R. § 1.97(b). Accordingly, no fees are believed to be due in connection with the filing of this Information Disclosure Statement. However, should any fees be deemed necessary (except payment of the issue fee), the Commissioner is authorized to charge any deficiency or to credit any over payment to Jenkins & Gilchrist Account No. 10-0447/47171-00272.

Respectfully submitted,

November 10, 2000
Date


Timothy M. Kowalski
Reg. No. 44,192
Jenkins & Gilchrist, P.C.
1445 Ross Avenue, Suite 3200
Dallas, Texas 75202-2799
(312) 425-8540
Attorneys for Applicant

Form PTO-1449 (Modified) List of Patents and Publications For Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				Attorney Docket: CUMM:174--		Serial No.: 08/864,423	
				Applicant: Stromme et al.			
				Filing Date: May 28, 1997		Group: Unknown	
U.S. PATENT DOCUMENTS							
Examiner Initial	Ref	Document Number	Date	Name	Class	Sub-Class	Filing Date if Appropriate
	AA	RE 31, 692	10/84	Tyburski et al.	382	7	
	AB	DES 369,984	05/96	Larsen	D10	97	
	AC	3,245,534	10/59	Smith et al.	382	7	
	AD	3,246,295	04/66	DeClaris et al.	382	56	
	AE	3,280,974	10/66	Riddle et al.	209	111.8	
	AF	3,480,785	11/69	Aufderheide	250	219	
	AG	3,496,370	02/70	Haville et al.	250	219	
	AH	3,509,535	04/70	Berube	340	149	
	AI	3,612,835	10/71	Andrews et al.	235	61.11D	
	AJ	3,618,765	11/71	Cooper et al.	209	534	
	AK	3,679,314	07/72	Mustert	356	71	
	AL	3,764,899	10/73	Peterson et al.	324	61R	
	AM	3,778,628	12/73	Novak et al.	250	556	
	AN	3,815,021	06/74	Kerr	324	61R	
	AO	3,842,281	10/74	Goodrich	250	461	
	AP	3,870,629	03/75	Carter et al.	209	111.8	
	AQ	3,906,449	09/75	Marchak	340	146.3R	
	AR	3,976,198	08/76	Carnes Jr. et al.	209	111.7T	
	AS	4,041,456	08/77	Ott et al.	340	146.3R	
	AT	4,081,131	03/78	Sand et al.	235	419	
	AU	4,096,991	06/78	Iquchi	235	419	
	AV	4,114,804	09/78	Jones et al.	235	476	
	AW	4,147,430	04/79	Gorgone et al.	356	51	
EXAMINER				DATE CONSIDERED			

***Examiner:** Initial if citations considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
 C: 57721(18)D011.DOC)

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Examiner Initial	Ref	Document Number	Date	Name	Class	Sub-Class	Filing Date if Appropriate
	BA	4,164,770	08/79	Jeffers	360	113	
	BB	4,167,458	09/79	Louzos et al.	204	14	
	BC	4,179,685	12/87	O'Maley	340	146.3	
	BD	4,255,651	03/81	Phillips	235	92	
	BE	4,277,774	07/81	Fujii et al.	340	146.3	
	BF	4,283,708	08/91	Lee	340	146.32	
	BG	4,288,781	09/81	Sellner et al.	340	146.3	
	BH	4,302,781	11/91	Ikeda et al.	358	486	
	BI	4,311,914	01/82	Huber	250	556	
	BJ	4,313,598	02/82	DiBlasio	271	124	
	BK	4,334,619	06/82	Horino et al.	209	551	
	BL	4,348,656	09/82	Gorgone et al.	340	146.3R	
	BM	4,349,111	09/82	Shah et al.	209	534	
	BN	4,352,988	10/82	Ishida	250	559	
	BO	4,355,300	10/82	Weber	340	146.3C	
	BP	4,356,473	10/82	Freudenthal	340	146.3H	
	BQ	4,365,700	12/82	Arimato et al.	194	2	
	BR	4,381,447	04/83	Horvath et al.	250	223	
	BS	4,381,447	04/83	Horvath et al.	250	223	
	BT	4,386,432	05/83	Nakamura et al.	382	7	
	BU	4,388,662	06/83	Jeffers et al.	360	113	
	BV	4,413,296	11/83	Jeffers	360	113	
	BW	4,442,541	04/84	Finkel et al.	382	7	
EXAMINER				DATE CONSIDERED			

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U.S. PATENT DOCUMENTS							
Examiner Initial	Ref	Document Number	Date	Name	Class	Sub-Class	Filing Date if Appropriate
	CA	4,461,028	07/84	Okubo	382	15	
	CB	4,464,786	09/84	Nishito et al.	382	15	
	CC	4,464,787	08/84	Fish et al.	382	7	
	CD	4,470,496	11/84	Steiner	194	4C	
	CE	4,480,177	10/84	Allen	235	379	
	CF	4,482,058	11/84	Steiner	209	534	
	CG	4,487,306	12/84	Nao et al.	382	135	
	CH	4,490,846	12/84	Ishida et al.	382	7	
	CI	4,503,963	03/85	Steiner			
	CJ	4,513,439	04/85	Gorgone et al.	382	7	
	CK	4,539,702	09/85	Oka	382	7	
	CL	4,542,829	09/85	Emery	209	534	
	CM	4,547,896	10/85	Ohtombe et al.	382	318	
	CN	4,553,846	11/85	Hilton et al.	356	429	
	CO	4,556,140	05/86	Okada	194	4	
	CP	4,557,597	12/85	Iwama	356	71	
	CQ	4,558,224	12/85	Gober	250	460.1	
	CR	4,559,451	12/85	Curl	250	560	
	CS	4,563,771	01/86	Gorgone et al.	382	7	
	CT	4,567,370	01/86	Falls	250	461.1	
	CU	4,587,412	05/86	Apisdorf	235	449	
	CV	4,587,434	05/86	Roes et al.	250	556	
	CW	4,592,090	05/86	Curl et al.	382	7	
EXAMINER				DATE CONSIDERED			

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				Filing Date: May 28, 1997		Group: Unknown	
U.S. PATENT DOCUMENTS							
Examiner Initial	Ref	Document Number	Date	Name	Class	Sub-Class	Filing Date if Appropriate
	DA	4,593,184	06/86	Bryce et al.	235	449	
	DB	4,611,345	09/86	Ohniski et al.	382	7	
	DC	4,625,870	12/86	Nao et al.	209	534	
	DD	4,628,194	12/86	Dobbins et al.	235	379	
	DE	4,645,936	02/87	Gorgone	250	556	
	DF	4,653,647	03/87	Hashimoto	209	534	
	DG	4,658,289	04/87	Nagano et al.	358	75	
	DH	4,677,682	06/87	Miyagawa et al.	382	7	
	DI	4,681,229	07/87	Uesaka et al.	209	534	
	DJ	4,683,508	07/87	Jeffers et al.	360	113	
	DK	4,690,268	09/87	Ueshin	198	399	
	DL	4,694,963	09/87	Takesako	209	534	
	DM	4,697,071	09/87	Hiroaka et al.	235	379	
	DN	4,700,368	10/87	Munn et al.	377	8	
	DO	4,716,456	12/87	Hosaka	358	75	
	DP	4,733,308	03/88	Nakamura et al.	358	496	
	DQ	4,747,492	05/88	Saito et al.	209	534	
	DR	4,749,087	06/88	Buttifant	382	7	
	DS	4,764,976	08/88	Kallin et al.	382	65	
	DT	4,817,176	03/89	Marshall et al.	382	43	
	DU	4,820,909	04/89	Kawaucki et al.	235	379	
	DV	4,823,393	04/89	Kawakami	382	7	
	DW	4,825,246	04/89	Fukuchi et al.	355	4	
EXAMINER				DATE CONSIDERED			

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 C: 57721(18JD011.DOC)

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				Applicant: Stromme et al.			
				Filing Date: May 28, 1997		Group: Unknown	
U.S. PATENT DOCUMENTS							
Examiner Initial	Ref	Document Number	Date	Name	Class	Sub-Class	Filing Date if Appropriate
	EA	4,827,531	05/89	Milford	382	7	
	EB	4,841,358	06/89	Kammato et al.	358	75	
	EC	4,881,268	11/89	Uchida et al.	382	7	
	ED	4,906,988	03/90	Copella	340	825	
	EE	4,908,516	03/90	West	250	556	
	EF	4,973,851	11/90	Lee	250	556	
	EG	4,984,280	01/91	Abe	382	7	
	EH	4,985,614	01/91	Pease et al.	235	440	
	EI	4,992,860	02/91	Hamaquchi et al.	358	75	
	EJ	4,996,604	02/91	Oqawa et al.	358	486	
	EK	5,020,787	06/91	Arikawa	271	3	
	EL	5,027,415	06/91	Hara et al.	382	135	
	EM	5,047,871	09/91	Meyer et al.	358	486	
	EN	5,054,621	10/91	Murphy et al.	209	534	
	EO	5,055,834	10/91	Chiba	382	135	
	EP	5,068,519	11/91	Bryce	235	449	
	EQ	5,119,025	06/92	Smith et al.	324	252	
	ER	5,122,754	06/92	Gotaas	324	676	
	ES	5,151,607	09/92	Crane et al.	250	556	
	ET	5,163,672	11/92	Mennie	271	187	
	EU	5,167,313	12/92	Dobbins et al.	194	317	
	EV	5,172,907	12/92	Kalisiak	271	227	
	EW	5,199,543	04/93	Kamagami et al.	194	207	
EXAMINER				DATE CONSIDERED			

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U.S. PATENT DOCUMENTS							
Examiner Initial	Ref	Document Number	Date	Name	Class	Sub-Class	Filing Date if Appropriate
	FA	5,199,543	04/93	Kamagami et al.	194	207	
	FB	5,201,395	04/93	Takizawa et al.	194	206	
	FC	5,207,788	05/93	Geib et al.	271	122	
	FD	5,220,395	06/93	Yamashita et al.	355	313	
	FE	5,236,072	08/93	Cargill	194	207	
	FF	5,240,116	08/93	Stevens et al.	209	534	
	FG	5,261,518	11/93	Bryce	194	206	
	FH	5,295,196	03/94	Rateman et al.	382	7	
	FI	5,304,813	04/94	DeMan	250	556	
	FJ	5,308,992	05/94	Crane et al.	250	556	
	FK	5,309,515	05/94	Troung et al.	382	7	
	FL	5,341,408	09/94	Melcher et al.	377	8	
	FM	5,358,088	10/94	Barnes et al.	194	206	
	FN	5,363,949	11/94	Matsubayashi	194	206	
	FO	5,367,577	11/94	Gotaas	382	135	
	FP	5,397,003	05/95	Stevens et al.	209	534	
	FQ	5,418,458	05/95	Jeffers	324	235	
	FR	5,430,664	07/95	Cargill et al.	364	550	
	FS	5,437,357	08/95	Ota et al.	385	135	
	FT	5,465,821	11/95	Akioka	194	207	
	FU	5,467,405	11/95	Rateman et al.	382	135	
	FV	5,467,406	11/95	Graves et al.	382	135	
	FW	5,478,992	12/95	Hamada et al.	235	379	
	FX	5,607,040	03/97	Mathurin, Sr.	194	207	
EXAMINER				DATE CONSIDERED			

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U.S. PATENT DOCUMENTS							
Examiner Initial	Ref	Document Number	Date	Name	Class	Sub-Class	Filing Date if Appropriate
	GA	5,633,949	05/97	Graves et al .	382	135	
	GB	5,640,463	06/97	Csulits	382	135	
	GC	5,652,802	07/97	Graves et al.	382	135	
FOREIGN PATENT DOCUMENTS							
Examiner Initial	Ref	Document Number	Date	Country	Class	Sub-Class	Translation
							Yes/ No
	GD	EP 101,115	02/84	European			
	GE	EP 325,364	07/89	European			
	GF	EPA 0338123	10/89	European			
	GG	EPA0077464	04/83	European			
	GH	EPA0342647	11/89	European			
	GI	GB 2,190,996A	12/87	Great Britian			
	GJ	WO 87/06041	10/87	International (PCT)			
	GK	WO 90/07165	06/90	International (PCT)			
	GL	WO 91/11778	08/91	International (PCT)			
	GM	WO 93/23824	11/93	International (PCT)			
	GN	WO 94/19773	09/94	International (PCT)			
	GO	WO 95/24691	09/95	International (PCT)			
	GP	WO 96/10800	04/96	International (PCT)			
EXAMINER				DATE CONSIDERED			

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FOREIGN PATENT DOCUMENTS							
Examiner Initial	Ref	Document Number	Date	Country	Class	Sub-Class	Translation
							Yes/ No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	HA	Mosler Inc. brochure "The Mosler/Toshiba CF-420", 1989					
	HB	AFB Currency Recognition System (1982)					
	HC	"Sale of Doubles Detection 7/91"					
	HD	"Sale of Magnetic Detection 7/91"					
	HE	"Sale of Doubles Detection 6/92"					
	HF	"Sale of Multiple Density Sensitivity Setting 4/93"					
	HG	"Sale of Multiple Magnetic Sensitivity Setting 4/93"					
	HH	"Offer for Sale of Optical/Magnetic Detection 9/92"					
	HI	Mosler CF-420 Cash Management System Operator's Manual, cover, copyright page, and chapter 5 pages 5-1 through 5-16, copyrighted 1989					
	HJ	JetScan Currency Scanner/Counter, Model 4060, Operator's Manual by Cummins-Allison (8/91)					
	HK	Sale of JetScan Currency Scanner/Counter, Model 4060 (8/91)					
	HL	JetScan Currency Scanner/Counter, Model 4061, Operating Instructions by Cummins-Allison (4/20/93)					
	HM	Sale of JetScan Currency Scanner/Counter, Model 4061 (4/20/93)					
	HN	JetScan Currency Scanner/Counter, Model 4062, Operating Instructions by Cummins-Allison (11/28/94)					
	HO	Sale of JetScan Currency Scanner/Counter, Model 4062 (11/28/94)					
EXAMINER				DATE CONSIDERED			

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FOREIGN PATENT DOCUMENTS							
Examiner Initial	Ref	Document Number	Date	Country	Class	Sub- Class	Translation Yes/ No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	IA	Glory Instruction Manual for GFR-100 Currency Reader Couter (8/15/95)					
	IB	Description of Toshiba-Mosler CF-420 Device; estimated 1989					
	IC	Currency Systems International, Medium Speed Currency Sorting Family, CPS 600 and CPS 900; 4 pages; date: copyr. 1994					
	ID	Currency System Intl'l, Mr. W. Kranister in Conversation With Richard Haycock; pages 1-5; dated: estimated 1994					
	IE	Description of Currency Systems International's CPS 600 and CPS 900 devices; date: estimated 1994					
	IF	Glory GSA-500 Sortmaster brochure; 2 pages; date: 1/14/94					
	IG	Glory UF-ID brochure; 2 pages; date: estimated before 8/9/94					
	IH	Currency Systems International/Currency Processing Systems, CPS 300; 4 pages; date: copyr. 1992					
	II	Glory GFB-200/210/220/230, Desk-Top Bank Note Counter; 2 pages; date: estimated before 8/9/94					
	IJ	News Product News by Toyocom, "Toyocom Currency Counter Now Reads Denominations" (9/26/94) (1 page)					
	IK	Brochure by Toyocom, "New Currency Counter with Denomination Recognition, Toyocom NS" (9/26/94) (1 page)					
	IL	Toyocom Currency Counter, Model NS-100, "Operation Guide (Preliminary)" (6/13/95)					
	IM	Brochure of Mosler Model CS 6600 Optical Currency Counter/Sorter, 4 pages, copyr. 1992					
	IN	Toshiba-Mosler Operator's Manual for CF-420 Cash Settlement System; pps 1 to C-3; copyr. 1989 (See eg. pp. 3-10; 4-10; and 5-7)					
	IO	Currency Systems International, CPS 1200; 4 pages; date: copyr. 1992					
	IP	Brochure "DeLa Rue Systems, The processing of money and documents;" date: copyr. 1987 (See e.g. 3120 Currency Sorting Machine, p.3)					
EXAMINER				DATE CONSIDERED			

***Examiner:** Initial if citations considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 (Modified) List of Patents and Publications For Applicant's				Attorney Docket: CUMM:174---		Serial No.: 08/864,423	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				Applicant: Stromme et al.			
				Filing Date: May 28, 1997		Group: Unknown	
U.S. PATENT DOCUMENTS							
Examiner Initial	Ref	Document Number	Date	Name	Class	Sub-Class	Filing Date if Appropriate
FOREIGN PATENT DOCUMENTS							
Examiner Initial	Ref	Document Number	Date	Country	Class	Sub-Class	Translation
							Yes/ No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	JA	Chp. 7 of Mosler CF-420 Cash Management System, Operator's Manual© 1989					
	JB	Drawings of portions of Mosler CF-420 Cash Management System (FIGs. A-C) and description of the same (1989)					
EXAMINER				DATE CONSIDERED			

***Examiner:** Initial if citations considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
C:\57721(18JD01).DOC

[illegible]

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Form PTO-1449 (Modified) List of Patents and Publications For Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				Attorney Docket: CUMM174		Serial No.: 08/864,423	
				Applicant: Stromme et al.			
				Filing Date: 05/28/97		Group: unknown	
U.S. PATENT DOCUMENTS							
Examiner Initial	Ref	Document Number	Date	Name	Class	Sub-Class	Filing Date if Appropriate
	KA	4,984,692	01/91	Obara	209	583	
	KB	5,394,992	03/95	Winkler	209	552	
FOREIGN PATENT DOCUMENTS							
Examiner Initial	Ref	Document Number	Date	Country	Class	Sub-Class	Translation
							Yes/ No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	KC	Glory GFR-X Banknote Counter With Denomination Recognition; 3 pages; date: estimated 1/14/94					
	KD	Mosler-Toshiba Currency Sorter CF-400 Series; 4 pages; date: copr. 1983					
	KE	Glory GFF-8CF and GFF-8 Desk-Top Currency and Check Counter ; 4 pages; date: estimated 1/14/94					
	KF	Glory GFU-100 Desk-top Currency Fitness Sorter/Counter; 2 pages; date estimated 1/14/94					
	KG	GSA-500 Sortmaster brochure; 4 pages; date: estimated 1/14/94					
EXAMINER				DATE CONSIDERED			

Form PTO-1449 (Modified) List of Patents and Publications For Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				Attorney Docket: CUMM174		Serial No.: 08/864,423	
				Applicant: Stromme et al.			
				Filing Date: 05/28/97		Group: unknown	
U.S. PATENT DOCUMENTS							
Examiner Initial	Ref	Document Number	Date	Name	Class	Sub-Class	Filing Date if Appropriate
	LA	4,250,806	02/81	Boyson et al.	101	2	
FOREIGN PATENT DOCUMENTS							
Examiner Initial	Ref	Document Number	Date	Country	Class	Sub-Class	Translation
							Yes/ No
	LB	WO 92/17394	10/92	International (PCT)			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			

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 C 59014(19J@01) DOC)

Form PTO-1449 (modified) LIST OF PRIOR ART CITED BY APPLICANT Sheet 1 of 1				Application No.: 08/864,423 Filing Date: May 28, 1997 First Named Inventor: Lars R. Stromme Group Art Unit: 2112 Examiner: Not Assigned Attorney Docket No.: CUMM:174			
U.S. PATENT DOCUMENTS							
Examiner Initial	Ref.	Document Number	Date	Name	Class	Sub-Class	Filing Date (if Application)
	A1	5,616,915	04/01/97	Simpkins et al.	250	221	
	A2	5,657,846	08/19/97	Schwartz	194	206	
	A3	5,680,472	10/21/97	Conant	382	135	
	A4						
	A5						
	A6						
FOREIGN PATENT DOCUMENTS							
Examiner Initial	Ref.	Document Number	Date	Country	Class	Sub-Class	Translation Yes/No
	B1						
	B2						
	B3						
	B4						
	B5						
	B6						
OTHER DOCUMENTS (including author, title, date, pertinent pages, etc.)							
Examiner Initial	Ref.	Document Information					
	C1						
	C2						
	C3						
	C4						
	C5						
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">EXAMINER</td> <td style="width: 50%;">DATE CONSIDERED</td> </tr> </table>			EXAMINER	DATE CONSIDERED			
EXAMINER	DATE CONSIDERED						

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Form PTO-1449 (Modified) List of Patents and Publications For Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				Attorney Docket: CUMM:174		Serial No.: 08/864,423	
				Applicant: Lars R. Stromme, et al.			
				Filing Date: May 28, 1997		Group: 2112	
U.S. PATENT DOCUMENTS							
Examiner Initial	Ref	Document Number	Date	Name	Class	Sub- Class	Filing Date if Appropriate
	G1	5,687,963	11/97	Mennie	271	119	
	G2	5,692,067	4/97	Rateman et al.	382	135	
	G3	5,704,491	1/98	Graves	209	534	
	G4	5,724,438	3/98	Graves	382	135	
	G5	5,751,840	5/98	Rateman et al.	382	135	
	G6	5,790,693	8/98	Graves, et al.	382	135	
	G7	5,790,697	8/98	Jones et al.	382	135	
	G8	5,806,650	9/98	Mennie et al.	194	206	
	G9	5,815,592	9/98	Mennie et al.	382	135	
	G10	5,822,448	10/98	Graves et al.	382	135	
	G11	5,832,104	11/98	Graves et al.	382	135	
	G12	5,867,589	2/99	Graves et al.	382	135	
	G13	5,870,487	2/99	Graves et al.	382	135	
	G14	5,875,259	2/99	Mennie et al.	382	135	
	G15	5,905,810	5/99	Jones et al.	382	135	
FOREIGN PATENT DOCUMENTS							
Examiner Initial	Ref	Document Number	Date	Country	Class	Sub- Class	Translation Yes/No
	H1	JP 61-14557	4/19/86	Japan			Yes (see H6 & H7 below)
	H2	JP 54-71673	6/8/79	Japan			Yes (see H8 below)
	H3	JP 54-71674	6/8/79	Japan			Yes (see H9 below)
	H4	JP 61-41439	9/16/86	Japan			Yes (see H10 below)
	H5	JP 56-136689	10/26/81	Japan			Yes (see H11 & H12 below)

***Examiner:** Initial if citations considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
 C: 90826(11/2/01).DOC

Form PTO-1449 (Modified) List of Patents and Publications For Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Attorney Docket: CUMM:174	Serial No.: 08/864,423
		Applicant: Lars R. Stromme, et al.	
		Filing Date: May 28, 1997	Group: 2112
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	H6	First Translation of JP 61-14557	
	H7	Second Translation of 61-14557 (Glory)	
	H8	Translation of JP 54-71673	
	H9	Translation of JP 54-71674	
	H10	Translation of JP 61-41439	
	H11	First Translation of JP 56-136689	
	H12	Second Translation of JP 56-136689 (Glory)	
	H13	Billcon D-202/204 Service Manual (cover marked 630229) (Japanese)	
	H14	Translation of Billcon D-202/204 Service Manual - (H13)	
	H15	Billcon D-202, D204 Operator's Manual (cover marked 611215) (Japanese)	
	H16	First Translation of Billcon D-202, D204 Operator's Manual (H15)	
	H17	Second Translation of Billcon D-202, D204 Operator's Manual (H15) (Glory)	
	H18	Banking Machine Digest No. 31 (last page of H19 translation has a date of 12/5/88) (Japanese)	
	H19	First Translation of Banking Machine Digest No. 31 (H18)	
	H20	Second Translation of Banking Machine Digest No. 31 (H18) (Glory)	
	H21	Third Translation of Banking Machine Digest No. 31 (H18)	
	H22	Cummins-Allison Corp. v. Glory U.S.A., Inc., N.D. Ill.	
EXAMINER		DATE CONSIDERED	

***Examiner:** Initial if citations considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
 C: 90826(1Y2Y01).DOC

{PRIVATE }{PRIVATE } Form PTO-1449 (Modified) List of Patents and Publications For Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				Attorney Docket: CUMM:174—1 9 th IDS for CUMM:174		Serial No.: 08/864,423	
				Applicant: Lars R. Stromme, et al.			
				Filing Date: May 28, 1997 November 1, 1999 (CPA)		Group: 3652	
U.S. PATENT DOCUMENTS							
Examiner Initial	Ref	Document Number	Date	Name	Class	Sub-Class	Filing Date if Appropriate
	I.1	4,905,840	03/90	Yuge et al.	209	534	
	I.2	5,012,932	05/91	Omura et al	209	534	
	I.3	5,105,364	04/92	Kawamura et al	364	478	
	I.4	5,146,067	09/92	Sloan et al	235	381	
	I.5	5,183,142	02/93	Latchinian et al	194	206	
	I.6	5,297,030	03/94	Vassigh et al	364	405	
	I.7	5,408,417	04/95	Wilder	364	479	
	I.8	5,553,320	09/96	Matsuura et al	235	379	
	I.9	5,639,081	06/97	Hatamachi et al.	271	177	
	I.10	5,912,982	06/99	Munro et al	382	135	
FOREIGN PATENT DOCUMENTS							
Examiner Initial	Ref	Document Number	Date	Country	Class	Sub-Class	Translation Yes/No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							

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Form PTO-1449 (modified)	Atty. Docket No. CUMM174--1 (47171-00174USC1)	Serial No. 08/864,423
List of Patents and Publications for Applicant's 10TH INFORMATION DISCLOSURE STATEMENT	Applicant Stromme, Lars R. et al.	
Page 1 of 1	Filing Date: 05/28/97; 11/0199 (CPA)	Group: 3652

U.S. Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
	J01	4,707,843	11/17/87	McDonald et al.	377	8	

Foreign Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)		
Exam. Init.	Ref. Des.	Citation
	J02	Glory Instruction Manual for GFR-100 Currency Reader Counter (8/15/95)
	J03	Description of Toshiba-Mosler CF-420 Device; estimated 1989
	J04	Currency Systems International, Medium Speed Currency Sorting Family, CPS 600 and CPS 900; 4 pages; date: copyr. 1994
	J05	Currency System Int'l, Mr. W. Kranister in Conversation With Richard Haycock; pages 1-5; dated: estimated 1994
	J06	Description of Currency Systems International's CPS 600 and CPS 900 devices; date: estimated 1994
	J07	Glory GSA-500 Sortmaster brochure; 2 pages; date: 1/14/94
	J08	Glory UF-ID brochure; 2 pages; date: estimated before 8/9/94
	J09	Currency Systems International/Currency Processing Systems, CPS 300; 4 pages; date: copyr. 1992
	J10	Glory GFB-200/210/220/230, Desk-Top Bank Note Counter; 2 pages; date: estimated before 8/9/94
	J11	News Product News by Toyocom, "Toyocom Currency Counter Now Reads Denominations" (9/26/94) (1 page)
	J12	Brochure by Toyocom, "New Currency Counter with Denomination Recognition, Toyocom NS" (9/26/94) (1 page)
	J13	Toyocom Currency Counter, Model NS-100, "Operation Guide (Preliminary)" (6/13/95)
	J14	Brochure of Mosler Model CS 6600 Optical Currency Counter/Sorter, 4 pages, copyr. 1992
	J15	Toshiba-Mosler Operator's Manual for CF-420 Cash Settlement System; pps 1 to C-3, copyr. 1989 (See eg. pp. 3-10; 4-10; and 5-7)
	J16	Currency Systems International, CPS 1200; 4 pages; date; copyr. 1992
	J17	Brochure "DeLa Rue Systems, The processing of money and documents;" date: copyr. 1987 (See e.g. 3120 Currency Sorting Machine, p. 3)
	J18	Chp. 7 of Mosler CF-420 Cash Management System, Operator's Manual; copyr. 1989
	J19	Drawings of portions of Mosler CF-420 Cash Management System (FIGs. A-C and description of the same; 1989)
	J20	Cummins-Allison Corp. v. Glory U.S.A., Inc., N.D., Ill.

EXAMINER:	DATE CONSIDERED:
EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

Form PTO-1449 (modified) 11 th IDS List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT Page 1 of 2	Atty. Docket No. 47171-00174USC1 (CUMM:174-1)	Serial No. 08/864,423
	Applicant Stomme et al	
	Filing Date: May 28, 1997 November 1, 1999 (CPA) June 13, 2000 (CPA)	Group: 3652

U.S. Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
	K01	4,114,804	09/78	Jones et al	235	476	
	K02	4,179,685	12/79	O'Maley	340	146.3	
	K03	4,275,874	06/81	DiBlasio	271	187	
	K04	4,386,432	05/83	Nakamura et al	382	7	
	K05	4,513,439	04/85	Gorgone et al	382	7	
	K06	4,532,641	07/85	Nishimura	377	14	
	K07	4,559,452	12/85	Igaki et al	250	560	

Foreign Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	K08	WO81/02111	04/81	PCT			
	K09	EP0130824A2	06/84	EPO			
	K010	EP0130825A2	06/84	EPO			
	K011	EP0132329A2	06/84	EPO			
	K012	EP0077464A2	09/82	EPO			
	K013	EP0206675B1	06/86	EPO			
	K014	EP0253935A2	10/86	EPO			
	K015	EP0264125A1	10/87	EPO			
	K016	EP0338123A2	11/88	EPO			
	K017	EP0342647A2	05/89	EPO			
	K018	DE2659929C3	11/77	Germany			
	K019	DE2935668C2	09/79	Germany			
	K020	GB2061232A	05/81	United Kingdom			
	K021	GB2119138A	11/83	United Kingdom			
	K022	JPA54-71673	06/79	Japan			Yes
	K023	JPA56-16287	02/81	Japan			Yes

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EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Form PTO-1449 (modified) 11 th IDS	Atty. Docket No. 47171-00174USC1 (CUMM:174—1)	Serial No. 08/864,423
List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT	Applicant Stomme et al	
Page 2 of 2	Filing Date: May 28, 1997 November 1, 1999 (CPA) June 13, 2000 (CPA)	Group: 3652

	K024	JP63-271687	11/88	Japan			
Other Art (Including Author, Title, Date Pertinent Pages, Etc.)							
Exam. Init.	Ref. Des.	Citation					
	K025	JP "Banking Machine Digest, No. 31, 1989 – Translation					
	K026	Operation Manual of the D-202, D-204 Mixed Paper Currency Counter of Billcon, Co., Ltd. – Translation					
	K027	Service Manual of the D-202, D-204 Mixed Paper Currency Counter of Billcon Co., Ltd. – Translation					

EXAMINER:	DATE CONSIDERED:
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Form PTO-1449 (modified)	Atty. Docket No. 47171-00174USC1 (CUMM:174—1)	Serial No. 08/864,423
List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT	Applicant Stromme et al.	
	Filing Date: May 28, 1997	Group: 3652
Page 1 of 1		

U.S. Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
	G.1	4,922,109	05/90	Bercovitz et al.	250271	556	

Foreign Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	H.1	GB 2,038,063A	07/80	United Kingdom			

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)		
Exam. Init.	Ref. Des.	Citation
	I.1	Translation of EP 0 077 464 A2
	I.2	Translation of EP 0 342 647 A2

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EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH	